

Declaration and Power of Attorney for Patent Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

MODULATION OF LC132 (OPIOID-LIKE) RECEPTOR FUNCTION

the specification of which

(check one)

☐ is attached hereto

☒ was filed on June 3, 1997 as

Application Serial No. 08/868355

and was amended on _____

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

Priority Claimed

<u>96109462.0</u> (Number)	<u>Europe</u> (Country)	<u>13 / June / 1996</u> (Day/Month/Year Filed)
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)

☒ Yes ☐ No

☐ Yes ☐ No

☐ Yes ☐ No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)
(Application Serial No.)	(Filing Date)	(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.
(list name and registration number)

George W. Johnston	(Reg.No. 28090)	Patricia S. Rocha-Tramaloni	(Reg.No. 31054)
William H. Epstein	(Reg.No. 20008)	Ellen C. Coletti	(Reg.No. 34140)
Dennis P. Tramaloni	(Reg.No. 28542)	Lewis J. Kreisler	(Reg.No. 38522)

Send Correspondence to:

George W. Johnston, Esq., Hoffmann-La Roche Inc., 340 Kingsland Street, Nutley, New Jersey 07110-1199

Direct Telephone Calls to: (name and telephone number)

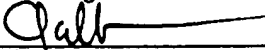
Lewis J. Kreisler, Esq. (201) 235-4387

Full name of sole or first inventor

Olivier Civelli

Inventors signature

Date



08/25/92

Residence

Irvine, USA

Citizenship

Switzerland

Post Office Address

10 Dickens Court, Irvine, California 92715, USA

Full name of sole or second inventor

James Richard Martin

Inventors signature

Date



July 30, 1997

Residence

Therwil, Switzerland

Citizenship

USA

Post Office Address

Schulgasse 14, CH-4106 Therwil, Switzerland

(Supply similar information and signature for third and subsequent joint inventors.)

Full name of sole or first inventor, if any

Frederick Monsi

Inventors signature

Date

July 30, 1997

Residence

Riehen, Switzerland

Citizenship

USA

Post Office Address

Höhenstrasse 45, CH-4125 Riehen, Switzerland

Full name of sole or fourth inventor, if any

Jean-Luc Moreau

Inventors signature

Date

July 30, 1997

Residence

Rixheim, France

Citizenship

France

Post Office Address

50, rue du Docteur Albert Schweizer, F-68170 Rixheim, France

Full name of sole or fifth inventor, if any

Hans-Peter Nothacker

Inventors signature

Date

August 28, 1997

Residence

Schliengen, Germany

Citizenship

Germany

Post Office Address

In den Hofmatten 5B, D-79418 Schliengen, Germany

Full name of or sixth inventor, if any

Rainer Reinscheid

Inventors signature

Date

September 24, 1997

Residence

Freiburg, Germany

Citizenship

Germany

Post Office Address

Admiral-Spee-Strasse 3, D-79100 Freiburg, Germany

Full name of sole or seventh inventor, if any

Inventors signature

Date

Residence

Citizenship

Post Office Address

Full name of sole or eighth inventor, if any

Inventors signature

Date

Residence

Citizenship

Post Office Address

Full name of sole or ninth inventor, if any

Inventors signature

Date

Residence

Citizenship

Post Office Address

Full name of sole or tenth inventor, if any

Inventors signature

Date

Residence

Citizenship

Post Office Address

Title 37, Code of Federal Regulations, §1.56, duty to disclose information material to patentability (in part) provides, in part, that each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned.

Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and

- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim: or
- (2) It refutes, or is inconsistent with, a position the applicant takes in:
 - (i) Opposing an argument of unpatentability relied on by the Office, or
 - (ii) Asserting an argument of patentability.

Certification under 37 CFR 1.10

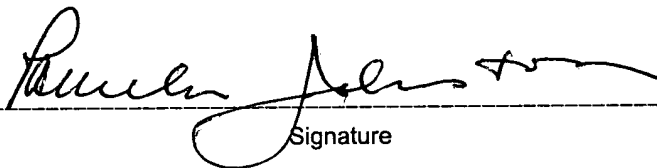
I hereby certify that this form and all documents cited on this form are being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number:

EL 912160392US

addressed to: **Commissioner for Patents**
Washington, D.C. 20231

on August 15, 2001

Date


Signature

Pamela Johnston

Typed or printed name of person of signing Certificate

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Papers Submitted for Case Docket 9516 US: Patent Application Transmittal Form, Application Data Sheet, Fee Transmittal Form, Specification, Declaration, Sequence Listing, Statement Under 37 CFR 1,821(e)